Local States in One-Dimensional Symmetrical Quantum Systems

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Local quantum states, which play an important role in quantum dynamical treatments, are expanded analytically with respect to a basis of eigen functions of a symmetrical Hamiltonian \( \hat{J}(x) = \hat{J}(-x) \). Exact local states (ELS) in one-dimensional symmetrical quantum systems are therein defined as quantum states which are local eigenstates of the Hamiltonian \( \hat{J}(x) \) on one half space \( \mathbb{R}_+ \) or \( \mathbb{R}_- \) and are identically equal to zero on the other half space. Local properties like the projection operator on one half space can be given in terms of ELS-basis, but it is shown that the energy moments \( \langle \{\hat{A}, \hat{J}(x)\}\rangle \) with respect to the ELS do not converge. Consequently, if one uses the ELS as quasistationary initial states, as has been done recently by some authors [5], the lifetimes of these states cannot be estimated from time energy uncertainty relation using the second energy moment as an energy uncertainty measure. A harmonic oscillator system and a symmetrical double oscillator are treated as examples.

I. Introduction

It can be said that a lot of physical and chemical phenomena like the decay of nuclear and atomic states, diffusion in solids [1], reorientational motions in solids [2], and molecular rearrangements [3—5] can be described in terms of wave packets which are more or less localized on some spatial domain at an initial time. If the creation process of these initial states is well known then this is also true for the wave packet, at least in principle. Very often the information on such initial states is incomplete, i.e. one can only establish probabilities. In the latter case the initial situation can be described with the aid of the density matrix formalism. The simplest initial density matrix, for example, for a canonical ensemble, is a diagonal one with respect to a basis of exact local "eigenfunctions" (ELS) of the Hamiltonian \( \hat{J} \). These local eigenfunctions describe the possible quantum states of a particle which is not able to leave the localization domain. They may be defined as functions which are eigenfunctions of \( \hat{J} \) inside the domain and which are equal to zero on the outside. The situation here is somewhat similar to that recently discussed by Dollard [6], i.e. we are looking for eigenfunction \( \psi \in L^2(\mathbb{R}) \) of \( \hat{J} \), with \( \hat{J} = \hat{J} + \hat{J}_0 \) where \( \hat{J}_0 \) represents an infinite \( \delta \)-function potential located at the ends of the localization domain. Our aim is to expand the eigenfunction of \( \hat{J} \) with respect to the eigenfunctions of \( \hat{J}_0 \) and hereby give meaning to the operator \( \hat{J}_0 \) on the Hilbert space \( L^2(\mathbb{R}) \). As a consequence of such expansion all operators which describe local properties on \( \mathbb{R}_+ \) or \( \mathbb{R}_- \) can be given in terms of a basis of eigenstates of \( \hat{J}_0 \).

II. The Hamiltonian

We would like to consider a quantum mechanical particle moving in a one-dimensional symmetrical potential which is bound from below.

The Hamiltonian of the system may be given as

\[
\hat{H}(x) = -\frac{1}{2m} \frac{d^2}{dx^2} + \gamma(x) \tag{1}
\]

with \( \gamma(x) = \gamma(-x) \). Consequently, the eigenfunctions of \( \hat{H} \) are either odd or even functions \( u_i(x) \) and \( g_i(x) \) respectively.

\[
\hat{H} u_i(x) = E_i u_i(x) , \quad \hat{H} g_i(x) = E_i g_i(x) . \tag{2}
\]

One always has \( E_i \geq E_{\text{min}} \). For the following terms we introduce the short notation

\[
E_i = E_{\text{min}} , \quad E_\varnothing = E_i - \Lambda E_i . \tag{3}
\]

Accordingly, from the symmetry of the system one obtains

\[
u_i(0) = 0, \quad g_i'(0) = \frac{dg_i(x)}{dx} \bigg|_{x=0} = 0 . \tag{4}
\]

In many cases such as isomerization reaction, diffusion models, etc. one is interested in analysing the time evolution of localized wave packets. If \( q(x) \) is such a localized square integrable function

\[
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with \( | \varphi(x) | = 1 \) at \( t = 0 \), the time evolution is easily calculated as follows

\[
\varphi(x,t) = \exp(-i\hat{H}t)\varphi(x) = \sum_j \langle u_j | \varphi \rangle \exp(-iE_jt)u_j(x) + \langle g_j | \varphi \rangle \exp(-i(E_j - \Delta E_j)t)g_j(x).
\]

From this formula we can see that the time evolution of \( \varphi(x) \) is completely determined from the energy eigenvalues of \( \hat{H} \) and the expansion coefficients \( \langle g_j | \varphi \rangle \) and \( u_j | \varphi \rangle \).

### III. Expansion Coefficients of Exact Local States (ELS)

In this paper we wish to report on our analyses with initial wave packets \( \varphi_1(x) \) and \( \varphi_2(x) \) which are initially strictly localized on one half space \( \mathbb{R}_+ \) or \( \mathbb{R}_- \), and which are local eigenfunctions of the Hamiltonian \( \hat{H} \) on \( \mathbb{R}_+ \) or \( \mathbb{R}_- \) with boundary conditions \( \varphi_1(x) = 0 \) on \( \mathbb{R}_- \) and \( \varphi_2(x) = 0 \) on \( \mathbb{R}_+ \). These states represent a particle which is bounded on \( \mathbb{R}_+ \) of \( \mathbb{R}_- \) respectively, i.e. each localized state can be expanded with respect to a basis of the \( \varphi_1(x) \) or the \( \varphi_2(x) \).

Furthermore, it is to be noted that since the \( \varphi_1(x) \)-states fulfill the boundary condition \( \varphi_1(x) = 0 \) for \( x \geq 0 \), the local states can easily be obtained from these states.

\[
\varphi_1(x) = \begin{cases} 2^{1/2}u_1(x) & \text{for } x \in \mathbb{R}_-, \\ 0 & \text{else}; \end{cases}
\]

\[
\varphi_2(x) = \begin{cases} 0 & \text{for } x \in \mathbb{R}_+, \\ -2^{1/2}u_1(x) & \text{else}. \end{cases}
\]

The expansion coefficients \( \langle g_j | \varphi_1 \rangle \), \( \langle u_j | \varphi_1 \rangle \), \( \langle g_j | \varphi_2 \rangle \), and \( \langle u_j | \varphi_2 \rangle \) can now be given in a closed form

\[
\begin{align*}
\langle u_j | \varphi_1 \rangle &= 2^{-1/2}\delta_{j1}, \\
\langle u_j | \varphi_2 \rangle &= -2^{-1/2}\delta_{j1}.
\end{align*}
\]

For \( i = j \) this relation directly follows from the definition Eq. (6), for \( i \neq j \) one can use the ortho-normality relation \( \langle u_j | \varphi_j \rangle = \delta_{ij} \) and the fact that the integrand is an even function of the spatial coordinate, meaning that the integral has to be equal to zero on \( \mathbb{R}_+ \) or \( \mathbb{R}_- \) if it vanishes over \( \mathbb{R} \).

In order to determine the coefficients \( \langle g_j | \varphi_1 \rangle \) and \( \langle g_j | \varphi_2 \rangle \) we first notice that

\[
\langle g_j | \varphi_1 \rangle = \langle g_j | \varphi_2 \rangle
\]

which can easily be seen from (6). Moreover, one has the following relations

\[
\begin{align*}
\varphi_1(x) &= -\frac{1}{2m} \frac{d}{dx} \left\{ 2^{1/2}u'_1(x) \right\} \text{for } x \in \mathbb{R}_-, \\
\varphi_2(x) &= -\frac{1}{2m} \frac{d}{dx} \left\{ -2^{1/2}u'_1(x) \right\} \text{else.}
\end{align*}
\]

Multiplication of Eq. (9) from the left with \( g_j^*(x) \) and integrating over \( \mathbb{R} \) gives us for the lhs.

\[
\langle g_j | \varphi_1 \rangle = \langle g_j | \varphi_2 \rangle = \left\langle \mathcal{H} \right\rangle \langle g_j | \varphi_1 \rangle = \left\langle \mathcal{H} \right\rangle \langle g_j | \varphi_2 \rangle
\]

and from the rhs. of Eq. (9) one obtains

\[
E_i \langle g_j | \varphi_1 \rangle + (2^{-1/2}/m) u'_i(0) g_j^*(x) \delta(x) dx
\]

Finally, from Eqs. (9) — (11) one obtains

\[
\langle g_j | \varphi_1 \rangle = g_j^*(0) u_i'(0) (2^{-1/2}/m) (E_i - E_i - \Delta E_i)^{-1}.
\]

### IV. Expectation Values of Operators with Respect to Local States and Quasi-Local States

The expansion coefficients \( \langle g_j | \varphi_1 \rangle \) can now be used to obtain expectation values of some operators \( \hat{O} \) with regard to the exact local states (ELS)

\[
\langle \hat{O} \rangle = \sum_j \langle \varphi_j | \hat{O} | \varphi_j \rangle = \sum_j \langle \varphi_j | \hat{O} | \varphi_j \rangle = \sum_j \langle \varphi_j | \hat{O} | \varphi_j \rangle + 2^{-1/2} \langle \varphi_1 | \hat{O} | \varphi_1 \rangle + 2^{-1/2} \langle \varphi_2 | \hat{O} | \varphi_2 \rangle
\]

where we used (7). The expansion relation (14) is only valid if the rhs. converges; this, however, is not true for all operators. For example, for \( \hat{O} = \hat{\mathcal{H}}^k \), with \( k \geq 2 \), the rhs. of (14) is a divergent series, as can be seen when using (9). This statement has several consequences for lifetime calculations when using the time energy uncertainty relation

\[
\tau_i > \langle \Delta E_i \rangle^{-1} \quad (\hbar = 1\text{-units})
\]

and if one identifies \( \Delta E_i \) with the second moment of Hamiltonian \( \Delta E_i^2 = \langle \hat{\mathcal{H}} - \langle \hat{\mathcal{H}} \rangle \rangle^2 \). Expan-
sion of \( \hat{H} \), in terms of a \( L^2(\mathbb{R}) \) basis, yields \( \Delta E_i = \infty \) and in turn the trivial result \( \tau_i > 0 \).

In many cases, especially in numerical applications, only finite sets \( \{ | u_k \rangle, | g_k \rangle \}_{k=1-N} \) of eigenfunctions of \( \hat{H} \) can be applied for the expansion of the local states

\[
| l_i^N \rangle = \sum_{j=1}^{N} \langle g_j | l_i \rangle | g_j \rangle + 2^{-1/2} | u_i \rangle
\]

with \( 1 \leq i \leq N \). We will call such states quasi-local states (QLS). For the choice of \( \hat{O} = \hat{I} \) (identity operator), Eq. (14) can be used to calculate the extent of localization

\[
0 < G_i(N) \leq \sum_{j=1}^{N} | \langle l_i | g_j \rangle |^2 < 1/2
\]

with \( G_i(N) \rightarrow 1/2 \) if \( N \rightarrow \infty \). For each \( G_i \) with \( 0 < G_i < 1/2 \), one can find a finite integer \( N(G_i) \) to fulfill relation (16), i.e. we can localized all states to the same extent by using different values of \( N \). Such states will be designed as \( | l_i^G \rangle \) and the corresponding expectation values are

\[
\langle \hat{O} \rangle_{l_i^G} = \langle \hat{O} | l_i^G \rangle \langle l_i^G | \hat{O} \rangle = \sum_{j=1}^{N} \langle l_i | \hat{O} | g_j \rangle \langle g_j | l_i \rangle + 2^{-1/2} \langle l_i | \hat{O} | u_i \rangle | l_i + 1/2 \rangle \).
\]

Contrary to the ELS the first derivatives of the quasi-local states (QLS) at the symmetry center are no longer discontinuous. The transition near \( x = 0 \) can be made arbitrarily smooth, and correspondingly, \( \langle \hat{O} \rangle_{l_i^G} \) always converges. However, the price for this convergence is the fact that the QLS are only approximately localized in the desired domain, i.e. the wave functions have a nonvanishing tail in the wrong region.

A typical example for quasi-local states are the simple superpositions \( | u_i \rangle \pm | g_i \rangle \) which are commonly used in models for molecular rearrangement dynamics [1, 15, 17]. The extent of localization in the latter states sensitively depends on the special potential and the quantum number, so that these states cannot be generally used to discuss local properties.

V. Localized States in a Harmonic Oscillator System

The eigenstates of the harmonic oscillator form the basis of numerous theoretical and numerical treatments [7]. This fact is one of the reasons why we have calculated the expansion coefficients for local states in that system. The second one is because the coefficient \( \langle g_j | l_i \rangle \) can be given analytically for this system.

The Hamiltonian of the harmonic oscillator may be written in generalized coordinates \( (m = 1, \ h = 1, \ \omega = 1) \)

\[
\hat{H}(x) = \frac{1}{2} \left( - \frac{d^2}{dx^2} + x^2 \right)
\]

with normalized eigenfunctions

\[
u_i(x) = \frac{[2^{i-1}(2i-1)!!]^{1/2}}{\pi^{1/4}} \exp(-x^2/2) H_{2i-2}(x),
\]

and eigenvalues \( (i = 1, 2, 3, \ldots) \)

\[
E_i = 2i-1, \quad E_i^2 = E_i - 1 = 2i - 2,
\]

\( H_n(x) \) being the Hermite polynomials.

By using the well known properties of the harmonic oscillator wave functions [8] one can easily show that

\[
u_i'(x) = \frac{d}{dx} \nu_i(x)
\]

\[
= (i - 1/2) g_i(x) - i^{1/2} g_{i-1}(x),
\]

i.e. the coefficients Eq. (13) can only be calculated from the even functions. Finally, with the property of the Hermiteans [9]

\[
H_{2n}(0) = (-1)^n \left( \frac{(2n)!}{n!} \right)
\]

one finally obtains

\[
g_j(0) = (-1)^{j-1} \left[ (2j - 2)! \right]^{1/2} \pi^{-1/4} \cdot [(j - 1)! 2^{j-1}]^{-1},
\]

\[
u_i'(0) = (-1)^{j-1} 2^{j/2} [(2i-1)!]^{1/2} \pi^{-1/4} \cdot [(i - 1)! 2^{j-1}]^{-1},
\]

and from Eq. (13)

\[
\langle g_j | l_i \rangle = \frac{(-1)^i \tau_j \left[ (2j - 2)! \right]^{1/2}}{\pi^{1/2} (j-1)! (i-1)! 2^{i+j-2} [2(j-i) - 1]}
\]

Having this information on hand we can now try to calculate the energy moments. From Eq. (14)

one obtains with Eq. (20) and (25) formally

$$
\langle \hat{H}^k \rangle_t = \sum_j (E_j - \Delta E_j)^k |\langle h | g_j \rangle|^2 + \frac{1}{2} E_i^k
$$

$$
= \frac{1}{2} (2i - 1)^k + \frac{(2i - 1)!}{\pi [(i - 1)! 2^{i-1}]^2}
\sum_j \frac{(2j - 2)^k (2j - 2)!}{[2(j - i) - 1]^2 [(j - 1)! 2^{2j-2}]^2}.
$$

(26)

For large values of \(j\), the elements of the series in Eq. (26) follow the expression \((2/\pi)^{1/2} (2^i)^{-5/2}\) which can be easily shown with the aid of Stirlings formula for the large integers \(n, \ln n \approx n e^{-n} (2\ln n)^{1/2}\).

Therefore, this series is only convergent for \(k = 0\) (\(\hat{H}_0 = \hat{H}\), identity operation) and \(k = 1\) which leads to the identity

$$
\langle \hat{H} \rangle_t = E_i = 2i - 1 = \frac{(2i - 1)!}{\pi [(i - 1)! 2^{i-1}]^2}
\sum_j \frac{(2j - 2)(2j - 2)!}{[2(j - i) - 1]^2 [(j - 1)! 2^{2j-2}]^2}.
$$

(27)

For the quasi-local states, the corresponding expectation values \(\langle \hat{H}^k \rangle_t^G\) can be calculated for all \(k\), but it is to be seen from Table 1 that \(\langle \hat{H}^0 \rangle_t^G\) and \(\langle \hat{H}^1 \rangle_t^G\) converge towards the exact values with increasing localization parameter \(G\) while \((\Delta H^G)^2 = \langle (\hat{H} - \langle \hat{H}^G \rangle_t^G)^2 \rangle_t^G\) does not. The latter value increases by about half an oscillator quantum when the localization error decreases by a factor of ten, i.e. when \(G\) changes from 0.49 to 0.499.

Generally speaking, the divergence of the width \(\Delta \hat{H}_t^G\) in the harmonic oscillator system has no severe consequences for practical applications since the localized states in this system will only play the role of basis states and not that of physically reasonable initial states. The situation changes, however, on the double oscillator system, which will be discussed in detail in the next chapter.

VI. Local States in a Double Oscillator System

The simplest case where the local states may be physically acceptable initial states is the double oscillator system [1—5]. In this model the particle moves in a one-dimensional symmetrical double well potential. A lot of work has been done during the last few years to calculate the eigenstates and eigenvalues for different double oscillator models [10—13] but the results only differ quantitatively. The time dependence of different types of initial states in such systems has also been extensively studied [1, 3—5, 14—18]. In most papers [1, 15, 17] superpositions of only two eigenstates \(u_t(x)\) (odd function) and \(g_t(x)\) (even) were used as initial states. The time dependence of such state is then only a function of the splitting \(\Delta E_i\); we designed this scheme as splitting approximation. The validity of it can be measured by the expectation value \(W_t\) of the projection operator

$$
\hat{P}_t = |u_t \rangle \langle u_t| + |g_t \rangle \langle g_t|,
$$

$$
W_t = \langle l_t | \hat{P}_t | l_t \rangle = \langle l_t | g_t \rangle^2 + 1/2.
$$

(28)

Other authors [16] introduced harmonic oscillator functions as initial wave packets where the oscillator frequency was obtained from the curvature of the potential function at the minima. In a former paper [3] we used approximate localized eigenfunction, whereby the localization was obtained numerically by adding a step function \(\Delta E_t\) to the potential energy and solving the resulting Schrödinger equation. In very recent publications of Cribb et al. [5] also quasi-localized initial state were used and the lifetime were obtained from the uncertainty \((\Delta \hat{H}_t^G)^2 = \langle (\hat{H} - \langle \hat{H}^G \rangle_t^G)^2 \rangle_t^G\), even though the extent of localization was not yet explicitly determined.

In this work we present a double oscillator model with potential energy \(V(x) = 0.005 x^4 - 0.25 x\) (same

Table 1. Localized states in a harmonic oscillator system (Energies in \(\hbar \omega\)-units).

<table>
<thead>
<tr>
<th>(i)</th>
<th>(E_i)</th>
<th>(10^\log(1/2 - G))</th>
<th>(N_i(G))</th>
<th>(E_i - E_i^G)</th>
<th>(\Delta E_i^G)</th>
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</table>

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units as in the oscillator system). The potential minima are located at \( a_o = \pm 5 \), the curvature at this point is equal to that of the oscillator discussed above \( V''(a_o) = 1 \), the barrier separating the two wells being \( V_0 = 3.125 \). The Schrödinger equation was solved numerically by linear variational calculations using 140 oscillator eigenfunctions (with \( \omega = 1 \)) as a basis. Three pairs of eigenvalues are below the barriers, the fourth pair is approximately equal to \( V_0 \), while all other eigenvalues are larger than \( V_0 \). For further information, some numerical results are listed in Table 2. Concerning the lowest two energy pairs \( (i = 1, 2) \), the localized state can be well approximated in the splitting approximation as long as the difference of the localization parameter \( G \) from \( 1/2 \) is not less than \( 10^{-7} \).

In this case, the energy uncertainty \( \Delta E_i \) is equal to \( \Delta E_i/2 \), however. This is no longer true for \( G \rightarrow 1/2 \), as is to be seen from the third pair of eigenstates. Although the splitting approximation seems to be sufficiently fulfilled \( (W_3 = 0.998) \), the uncertainty increases drastically with increasing localization, \( \Delta E_{3G} = 0.0026 \approx \Delta E_{3/2} \) for \( G = 0.49 \) and \( \Delta E_{3G} = 0.048 \) for \( G = 0.4999 \). On the other hand, for low localization accuracy, the uncertainty \( \Delta E_{iG} \) is approximately equal to the splitting \( \Delta E_i/2 \).

VII. Concluding Comments

It has been clearly shown in this paper that exact localized states (ELS) of a symmetrical one-dimensional quantum system can be expanded in an infinite series with respect to the eigenfunctions of a given symmetrical Hamiltonian \( \hat{H}(x) = \hat{H}(-x) \) and that the expansion coefficients can be given in closed analytic form if one knows the eigenvalues of \( \hat{H} \), the even eigenfunctions at the symmetry center and the first derivatives of the odd eigenfunctions at that point. The ELS are therein defined as continuous functions on \( R \) which are eigenfunctions of \( \hat{H} \) in one half space and which are identically equal to zero in the other. This definition is equivalent to that where the ELS are linear combinations \( \sum \lambda_i | \varphi_i \rangle \) with the eigenstates \( | \varphi_i \rangle \) and \( | \varphi_i \rangle \) of a Hamiltonian \( \hat{H}_\infty \) which is identically equal to \( \hat{H}(x) \) for all \( x = 0 \) and has an infinite delta function barrier at the symmetry center \( V_\infty(x) = \lim_{x \to \infty} \delta(x) \) [6]. As a consequence of the definition of the ELS the first derivative of those functions at \( x = 0 \) is not continuous. This fact leads to poor convergence and even divergence if one expands expectation values of certain Hermitean operators with respect to ELS. Especially the

<table>
<thead>
<tr>
<th>( i )</th>
<th>( E_i )</th>
<th>( 1 - W_i )</th>
<th>( I_{\text{loc}}^* )</th>
<th>( N_i(G) )</th>
<th>( E_i - E_i^{\infty} )</th>
<th>( \Delta H_i^{\infty} )</th>
<th>( \Delta E_i )</th>
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* \( I_{\text{loc}} = 10 \log (1/2 - G) \).
energy moments $\langle(\hat{H} - \hat{H})^k\rangle$ diverge. Consequently, if one uses the ELS as quasistationary initial states [5], the lifetime of these states cannot be estimated from time energy uncertainty relation using the second energy moment as an energy uncertainty measure. One can avoid the discontinuity of the first derivatives of the ELS at $x=0$ by introducing quasi-localized states (QLS). These functions can be arbitrarily localized and made arbitrarily smooth near $x=0$ but expectation values like the energy moments $\langle(\hat{H} - \hat{H})^k\rangle$ for $k \geq 2$ will become drastically dependent on the quasi-localization i.e. dependent also on the smoothness of the QLS near the symmetry center. Consequently, one has to be very careful performing approximate calculations of quantities like lifetimes from energy moments with respect to quasi-localized states (QLS). This point will be discussed in a forthcoming paper [18].

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[18] J. Brickmann, will be published.